



#7 12/23/01
J. Gray
ATTORNEY DOCKET NO. 5026

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Chester Li et al.

Serial No.: 09/784,563

Filed: February 15, 2001

For: GENETIC MODIFICATION OF THE LUNG
AS A PORTAL FOR GENE DELIVERY

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) Group Art Unit: 1641
) Examiner: Unassigned
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Assistant Commissioner of Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Submitted herewith on Form PTO 1449 is a listing of documents known to applicants and/or their attorneys in compliance with the requirements of 37 C.F.R. §1.56, 1.97 and 1.98. Copies of these documents are enclosed. Consideration of the cited documents and making the same of record in the prosecution of the above-noted application are respectfully requested.

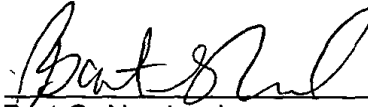
The Information Disclosure Statement submitted herewith is being filed prior to the mailing date of a first Office Action on the merits.

No fee is believed necessary in connection with the filing of this Information Disclosure Statement. However, if a fee is required, the Assistant Commissioner is hereby authorized to charge payment of such fee to Deposit Account No. 07-1074.

It is respectfully requested that these references be considered in
The examination of this application and that their consideration be made of
written record in the application file.

Respectfully submitted,

Date: December 7, 2001


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CERTIFICATE OF MAILING: Date of Deposit December 7, 2001

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the U.S. Postal Service as **First Class Mail** in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Stephanie L. Stefanik
Printed name of person mailing correspondence


Signature of person mailing correspondence